

Privacy Election Form

I understand that ProEquities may disclose my name, address, telephone number, email address, and the account title of the accounts serviced by my advisor to a third party brokerage or investment advisory firm, as allowed under federal and certain state laws, if my advisor moves to a third party brokerage or investment advisory firm. Furthermore, I instruct ProEquities to either limit or allow the sharing of any additional personal information, including but not limited to date of birth and social security number, by selecting the appropriate election below, signing, and returning this form to ProEquities.

Opting Out

By selecting the box “Initial Opt-Out,” I instruct ProEquities *to limit* the personal information that my financial advisor may disclose or take if he or she chooses to affiliate with another brokerage or investment advisory firm and terminates the relationship with ProEquities.

Opting In

By selecting the box “Initial Opt-In,” I instruct ProEquities *to allow* my financial advisor to disclose or take my personal information if he or she chooses to affiliate with another brokerage or investment advisory firm and terminates the relationship with ProEquities.

For accounts held jointly by two or more persons, the privacy choices made by any account holder apply to all joint holders with respect to the account. I understand that I may revoke my initial election at any time by completing a new form and checking the appropriate box.

For your election to be effective, you must complete ALL of the information below and check one box:

- Check one: **Initial Opt-Out** **Revoke Prior Opt-Out**
 Initial Opt-In **Revoke Prior Opt-In**

Primary Owner	Additional Owner
Name	Name
Mailing Address	Mailing Address
City State Zip	City State Zip
ProEquities Account Number	ProEquities Account Number
ProEquities Financial Advisor Name	ProEquities Financial Advisor Name
Signature	Signature
Date	Date

Return form to:
 ProEquities, Inc.
 Attn: ProEquities Compliance Dept.
 2801 Highway 280 South
 Birmingham, AL 35223