

CALIFORNIA CONSUMER PRIVACY ACT (“CCPA”)

Personal Information Request

Are you requesting information on behalf of yourself or another person?

- Yourself (complete Section 1 only)
- Another person (complete Sections 1 and 2)

Section 1

What is your (or the person for whom you make this request) relationship with ProEquities?

- A current or former ProEquities client
- Never had a ProEquities account in the past
- A current or former employee or registered representative of ProEquities

Choose the request type:

- Request the disclosure of information ProEquities has collected.
- Request the deletion of information ProEquities has collected.

	About the person for whom this request pertains
Name	
Address	
City, State, Zip	
Email address	
Date of Birth	
Last 4 digits SSN	

Signature of Account Owner

Submit

1. Return this form to ProEquities via email to ProEquitiesPrivacyNotices@ProEquities.com; or
2. Mail to:

ProEquities, Inc.
Attn: ProEquities Compliance Dept.
2801 Highway 280 South
Birmingham, AL 35223

Section 2: Complete only if you are requesting information on behalf of another person

What is your relationship to the person for whom you are requesting information?

I have a Power of Attorney for the person (please provide a copy)

I am an authorized representative for the person (please provide a copy of document conferring authority)

	Person making this request
Name	
Address	
City, State, Zip	
Email address	

Signature of Person Making the Request

Submit

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Effective January 1, 2020